

Solid-State Ultraviolet Irradiation for Continuous Disinfection of Occupied Spaces

Kevin Benner

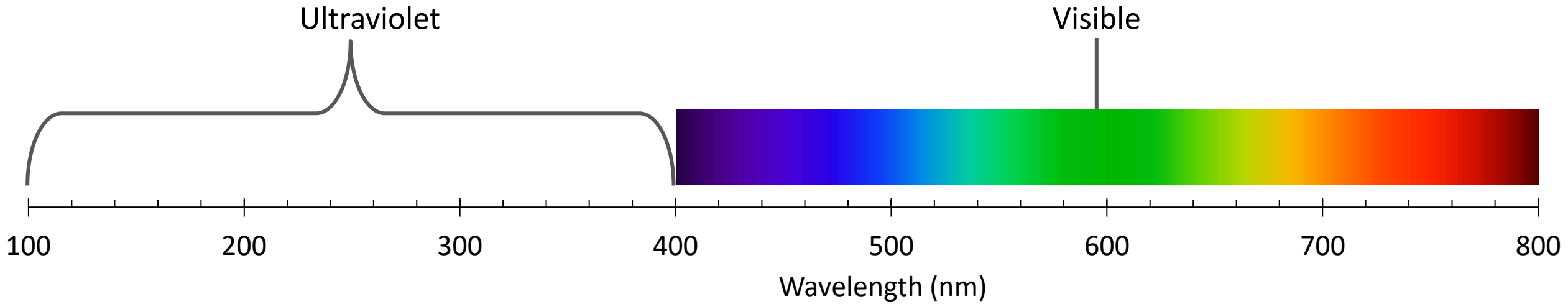
GE Current, a Daintree company



Make an Informed Decision:

- UV radiation can pose a risk of personal injury. Overexposure can result in damage to eyes and bare skin. To reduce risk of overexposure, equipment must be installed in accordance with manufacturer's site planning and application recommendations, including minimum ceiling height restrictions.
- UV solutions are intended for common high traffic spaces and not recommended for dwellings or home use.
- Installation of the devices should be performed by qualified professionals as detailed in Current's installation guide.
- To allow for occupancy during use, Current products comply with IEC 62471 – Photobiological Safety of Lamps and Lamp Systems standards and American Conference of Governmental Industrial Hygienists (ACGIH®) TLVs® guidelines when installed as directed.
- Current's UV products are meant to be used in conjunction with other protective measures like manual cleaning and the use of proper PPE. They are not a substitute for other measures.
- Current products are not intended for use as a medical device.
- If combining two or more UV solutions, whether from GE Current, a Daintree company and/or other manufacturers, please consult a trained product application representative to ensure the total irradiance (UV dose) does not exceed recommended human exposure limits. To the extent UV solutions are combined, it may impact inactivation rates.

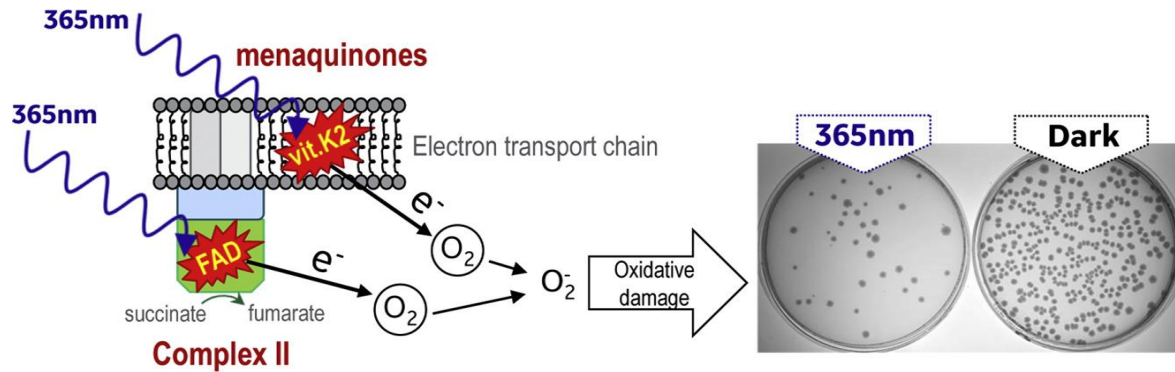
Germicidal irradiation for occupied spaces: wavelength selection



← Increasing germicidal efficacy

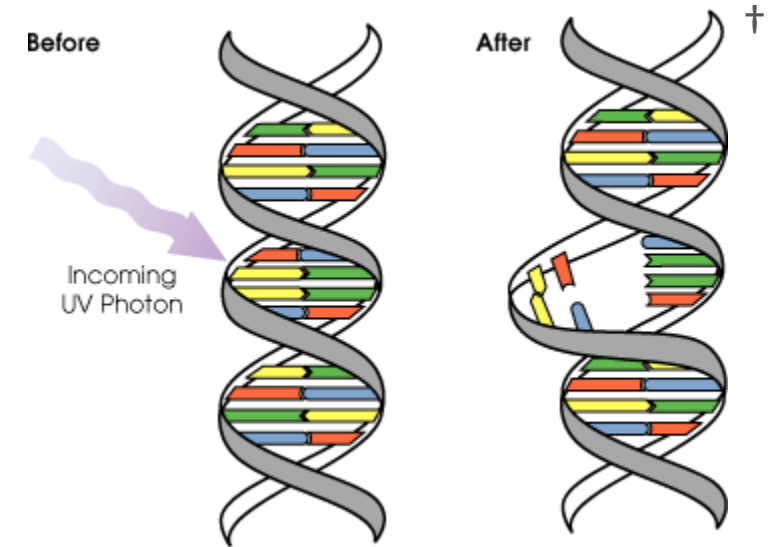
→ Decreasing photobiological risk*

Mechanism of inactivation (365nm)



Excitation of endogenous chromophores in the electron transport chain leads to the formation of superoxide radicals causing loss of respiration and oxidative damage leading to cell death (study in *E. coli*).*

Mechanism of inactivation (254nm)



UVC can inactivate microorganisms by causing the formation of molecular lesions such as pyrimidine dimers when the UVC photons are absorbed by nucleic acids (RNA or DNA) in a microorganism.‡

*Kvam E, Benner K. Mechanistic insights into UV-A mediated bacterial disinfection via endogenous photosensitizers. *Journal of Photochemistry and Photobiology B: Biology*. 2020;209:111899. doi:10.1016/j.jphotobiol.2020.111899.

†Image credit: NASA/David Herring

‡ Chun-Chieh T, Chih-Shan L. Inactivation of Viruses on Surfaces by Ultraviolet Germicidal Irradiation, *Journal of Occupational and Environmental Hygiene*. 2007; 4:6, 400-405, doi:10.1080/15459620701329012

UVC germicidal irradiation for occupied spaces

- Direct inactivation mechanism of UVC is needed to inactivate most viruses, compared to indirect mechanism of UVA for bacteria and fungi
- Can UVC be useful for reduction of pathogens, particularly viruses, at doses below the IEC 62471 exposure limit?

UVC germicidal irradiation for occupied spaces

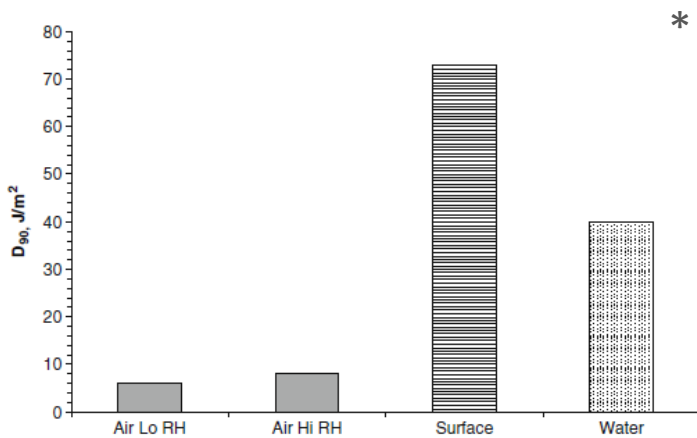


Fig. 4.3 Comparison of overall averages for virus D₉₀ values in various media

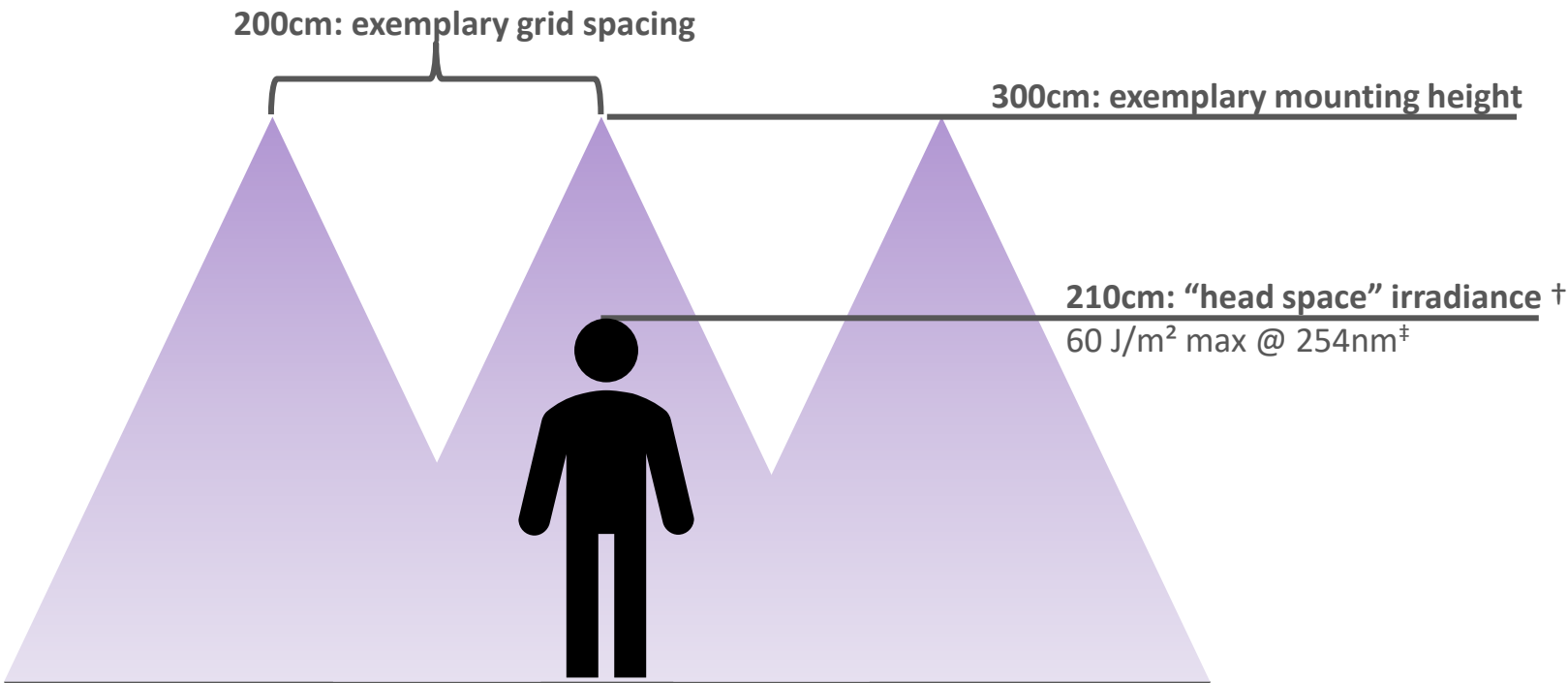
| | Air | Surface | Water |
|---|-----|---------|-------|
| Wavelength (nm) | 254 | 254 | 254 |
| Approximate average virus D ₉₀ (J/m ²)* | 7 | 70 | 40 |
| ACGIH TLVs ^{®†} or IEC 62471 EL [‡] (J/m ²) | 60 | 60 | 60 |
| EL/D ₉₀ | 8.6 | 0.9 | 1.5 |

log₁₀-reduction of pathogen at IEC 62471 exposure limit

- At 254nm, several-log₁₀ inactivation is possible for viruses in air at exposures below ACGIH TLVs[®] and IEC 62471 exposure limit
- Virus inactivation on surfaces is much less than in air at the same doses

Application and geometry for UVC whole room irradiation below IEC 62471 exposure limit

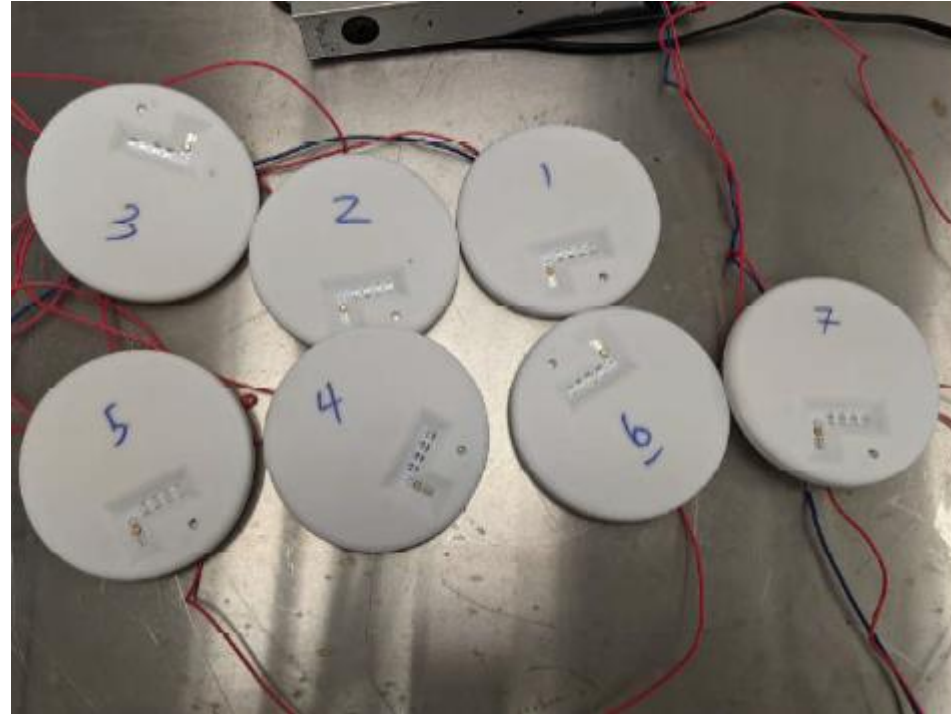
- Max dose at 2.1m (80° cone) determines exposure limit compliance
- Average dose (average for whole room) determines effectiveness



- 0.9 AVG:MAX ratio is achievable
- $\sim 54 \text{ J/m}^2 = \sim 8\text{-log}_{10}$ inactivation of aerosolized viruses without exceeding EL in occupied space
- $\sim 1\text{-log}_{10}$ inactivation of "average virus*" in 1 hour if dose is applied over 8 hours

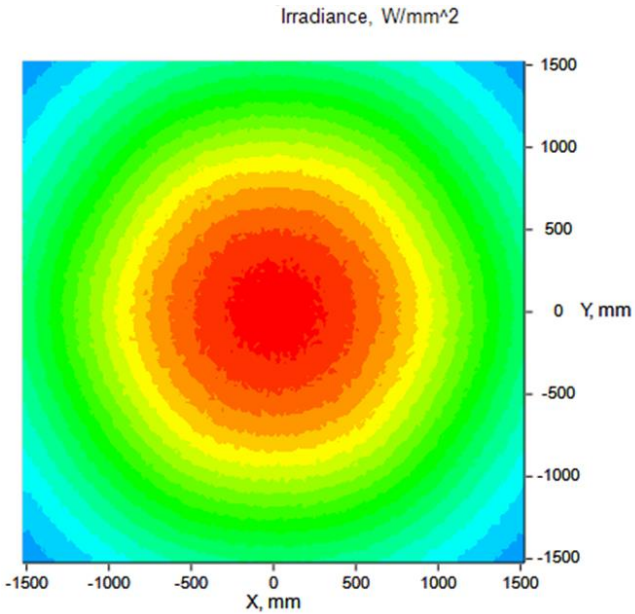
Prototype device and surrogate test

- UVC LED whole-room irradiation devices with peak wavelength 258nm
- Room-scale BSL1 test chamber (10ft W x 10ft D X 8ft H)
- Aerosolized surrogate virus (bacteriophage MS2*)

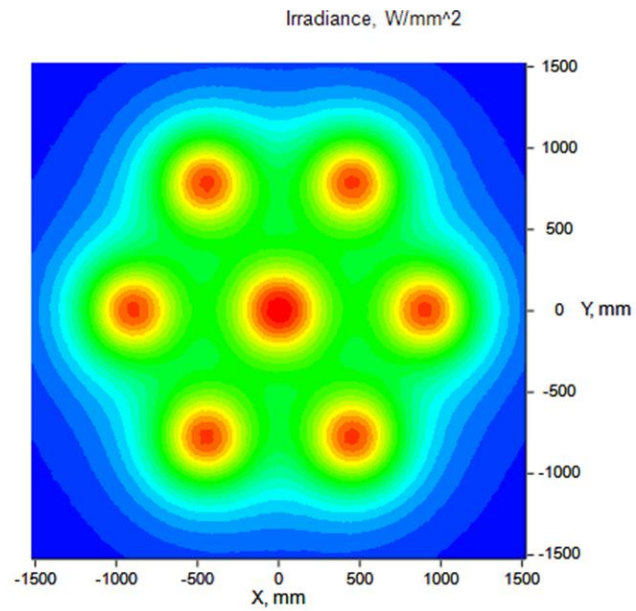


Prototype devices
(Single UVC LED with $\lambda_p \sim 258\text{nm}$)
 $\sim 1.7\text{mW}$ radiated per device (enabled by LED)

Room-scale chamber and test*



Average room dose: $\sim 10\text{J/m}^2$



Max headspace dose: $\sim 46\text{J/m}^2$



Prototype devices mounted in test chamber

- Low ceiling and small room = low AVG:MAX ratio (0.2 vs up to 0.9 in large room)
- 4-hour exposure

Test results

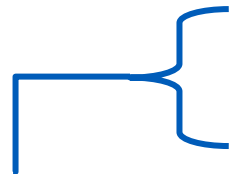
- 9.9 J/m² at Wp=258nm, delivered over 4 hours
- 0.93-log₁₀ (88%) reduction of aerosolized bacteriophage MS2 vs control tests without UVC (triplicate measurements)*

Bacteriophage MS2 as a surrogate pathogen

- Use of a BSL1 organism allows testing of devices in full- or close to full-scale conditions, unlike BSL2 (or BSL3) organisms which would need to be used in a biosafety cabinet, requiring small-scale test setups.
- Bacteriophage MS2 is generally more resistant to inactivation than enveloped viruses.[†]

Application of findings to an exemplary room, with special consideration to coronaviruses

| | Test results | Exemplary room (modeled) |
|--|-------------------------|--------------------------|
| Room size | 10ft W x 10ft D x 8ft H | Arbitrary size x 10ft H |
| Max headspace dose (J/m ²) | 46 | 40 |
| AVG:MAX ratio | 0.2 | 0.8 |
| Average room dose (J/m ²) | 9.9 | 32 |
| log ₁₀ reduction of MS2 | 0.93 | 3.0 |
| log ₁₀ reduction of coronavirus (estimated) | | 29.8 |



Coronaviruses are ~10X easier to inactivate with UVC than MS2*
 Application to seasonal coronaviruses and SARS-CoV-2 is reasonable

~30-log₁₀ reduction of aerosolized coronaviruses by whole room irradiation may be possible without exposing occupants to doses exceeding the IEC 62471 exposure limit

Exposure duration for whole room irradiation below IEC 62471 exposure limit

Time to 1-log₁₀
(90%) reduction

Equivalent air
changes per hour

| Exposure Duration (hours) | t ₉₀ (minutes)* | ACH _{eq} |
|------------------------------|----------------------------|-------------------|
| 24 | 48-135 | 1-3 |
| 12 | 24-68 | 2-6 |
| 8 | 16-45 | 3-9 |
| 4 | 8-23 | 6-17 |

$$ACH_{eq} = \frac{-\ln(0.1) \times 60}{t_{90}}$$

(equation adapted from CDC Guidelines for Environmental Infection Control in Health-Care Facilities, Appendix B)†

Whole room irradiation below exposure limits would be expected to provide virus inactivation comparable to mechanical air changes ranging from 1-17 ACH, depending on UVC exposure duration

Technology summary—whole room irradiation below IEC 62471 exposure limit

- UVA irradiation below the IEC 62471 exposure limit has been proven to provide reduction of certain common bacteria and fungi on surfaces.
- UVC irradiation below the IEC 62471 exposure limit has been shown to provide reduction of viruses* in air.
- UV LEDs enable the emission of UVC and UVA into practical embodiments.

*Tested on bacteriophage MS2, with calculated extensions of findings to other organisms

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